

Intellectual Property Report

Global Access: Ownership and Management of Relevant Intellectual Property

This form will serve to identify how the proposed work under the Project will fulfill the foundation's Global Access objective.

"Global Access" requires that (a) the knowledge and information gained from the Project be promptly and broadly disseminated and (b) the Funded Developments be made available and accessible at an affordable price (i) to people most in need within developing countries or (ii) in support of the U.S. educational system and public libraries, as applicable to your proposed Project.

General Information

Investment Title

Organization Legal Name

Program Officer

Opportunity/Contract ID

[Gates Foundation Staff to Populate]

Intellectual Property Contact

Provide the contact in your organization that deals with intellectual property-related issues (for example, in the legal counsel's office or the office of technology transfer).

IP Contact Name

Organization/Department

IP Contact Title

IP Contact Email

IP Contact Phone

Intellectual Property Questions

Intellectual property that is used or generated during the Project or that may be important in the ultimate commercialization of the Funded Development plays a role in ensuring that Global Access is achieved. The questions that follow are intended to identify such intellectual property, its ownership and how it will be used to accomplish Global Access. In completing this form, mark "not applicable" as appropriate.

1. Will this Project use or incorporate Background Technology?

"Background Technology" means any and all products, services, processes, technologies, materials, software, data or other innovations that are created by you or a third party prior to or outside of this Project and that are to be used as part of the Project.

a. If so, please identify all Background Technologies. Where such technologies are the subject of patents or patent applications, comprise data bases, or are protected by copyrights, please complete the relevant Technology Reporting Template found in [Appendix A](#).

2. How will the Background Technology be made available for use under the Project?

a. If you, as the direct funding recipient, own the Background Technology, please confirm that you will make the Background Technology available for use to achieve the proposed Project goals and Global Access.

b. If a third party owns the Background Technology, complete the relevant section in [Appendix B](#) to describe the agreement through which the third party allows use of the Background Technology to achieve the proposed Project goals and Global Access.

c. Do the existing agreement terms pertaining to Background Technology you own or that is owned by third parties create any obstacles to achieving the proposed Project goals and Global Access? If yes, please briefly summarize the obstacles and the specific steps that you will take to address them.

3. Will this project lead to the creation of Funded Developments?

“Funded Development” means any and all products, services, processes, technologies, materials, software, data or other innovations resulting from the Project (including modifications, improvements and further developments to Background Technology).

a. If so, will you create or develop the Funded Development? If so, please confirm that you will make the Funded Development available for use to achieve the proposed project goals and Global Access.

b. If another party to be funded under the Project (such as a contractor or sub-grantee) or a third party will be engaged in the creation of Funded Developments, complete the relevant section in [Appendix B](#) to describe the agreement through which the third party allows use of the Funded Development to achieve the proposed Project goals and Global Access.

c. Do the existing agreement terms relating to the creation by you of Funded Developments or with another party or a third party create any obstacles to achieving the proposed Project goals and Global Access? If yes, please briefly summarize the obstacles and the specific steps that you will take to address them.

d. Are there are uses or markets for the Funded Development beyond those described in the Project proposal? If so, please describe.

e. Do you anticipate making any modifications or improvements to the Funded Development after the proposed Project is completed?

4. Are the activities under this Project directed toward a final product or process that incorporates or uses the Funded Developments and that is made available to intended markets?

Such activities may include manufacturing, marketing, distribution, offer for sale, sale, or import.

a. If so, please list all such Funded Developments and summarize your plans for the manufacture, marketing, distribution, offer for sale, sale, or import for the Funded Development and explain how you will achieve Global Access.

b. Will you be solely responsible for implementing those plans for the Funded Development? If not, complete the relevant section in [Appendix B](#) to describe any agreements you have in place or anticipate establishing with other parties funded under the Project or third parties to proceed with such plans for the Funded Development.

c. Do you foresee any obstacles to achieving the proposed further development goals and Global Access? If yes, briefly summarize the obstacles and the specific steps that you will take to address them.

Appendix A: Technology Reporting

Complete the relevant sections and create additional copies as required.

Patents and Patent Applications

International Applications (PCT)

International Application No. [PCT / CCYYYY / #####]

International Filing Date [DD Month YYYY]

Title

Applicant(s) / Assignee(s)

Inventor(s)

Publication Date [DD Month YYYY]

Priority Data 1) Priority Application No.:

2) Priority Filing Date [DD Month YYYY]

3) Country

Has this application entered the National Phase? [Yes / No]

If yes, what countries?

National / Regional Applications

Application / Patent Number

Country / Region

Status [Pending / Granted]

Title

Filing Date [DD Month YYYY]

Applicant(s) / Assignee(s)

Inventor(s)

Publication Date [DD Month YYYY]

Priority Data 1) Priority Application No.:

2) Priority Filing Date [DD Month YYYY]

Is this a National Phase Entry of an International Application (PCT)? [Yes / No]

If so, International Application No. [PCT / CCYYYY / #####]

Copyrightable Works (Including Software)

Name / Title of work

Owner

Author

Brief description of work

Is the copyright work registered? [Yes / No]

If yes, country and registration number

Data / Database

Brief summary of contents

Physical geographic location

Source or origin of data in database

Appendix B: Agreement Reporting

Complete the relevant sections and create additional copies as required.

Agreements Relating to Background Technology

Background Technology Subject to Agreement

Agreement Type

Parties to the Agreement

Effective Date

[DD Month YYYY]

Type of Agreement (license, material transfer agreement, assignment, etc.)

Agreement Related to Funded Developments (Including by other parties funded under the Project or third parties)

Funded Development Subject to the Agreement

Agreement Type

Parties to the Agreement

Are they funded under the Project?

[Yes / No]

Effective Date

[DD Month YYYY]

Type of Agreement (license, material transfer agreement, assignment, etc.)

Privacy and Non-confidentiality Notice

The Foundation is required by the IRS to publish a list of its grants. We may also provide a general description of our grants and contracts on our web sites, in press releases, and in other marketing materials. Subject to the foundation's [Privacy Policy](#), the foundation may also share information you provide to us (either orally or in writing) with third parties, including external reviewers, key partners and co-funders. This document is subject to the foundation's [Terms of Use](#).